FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     McKew John C.						2. Issuer Name and Ticker or Trading Symbol LUMOS PHARMA, INC. [ (LUMO) ]  5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director To Officer (give title Other (specify below))										vner				
(Last) (First) (Middle) 4200 MARATHON BLVD						3. Date of Earliest Transaction (Month/Day/Year) 04/01/2020									below)	below)  Chief Scientific				
SUITE 200						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable						
(Street) AUSTIN TX 78756				4. '	4. II Amenument, Date of Original Filed (Month/Day/Year)								Line)	X Form filed by One Reporting Person Form filed by More than One Reporting						
(City)	(S	tate)	(Zip)												Person	l				
		Tak	ole I - Nor	ı-Deriv	ativ	e Se	curities	s Acc	quired,	Dis	posed o	f, or Be	enef	icially	/ Owned					
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)						Execution Date,		Code (Instr. 5)				5. Amou Securitie Beneficia Owned F Reported	ties For cially (D) Following (I)		n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
								Code	v	Amount	(A) (D)	(A) or (D) Price		Transact (Instr. 3	ction(s)			(111311.4)		
Common Stock 04/01/				1/2020		A		13,000	) <sup>(1)</sup> A		\$ <mark>0</mark>	13,	13,000		D					
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date, 7	4. Transaction Code (Instr 8)				6. Date Ex Expiration (Month/Da	Date	•	nd 7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	Owners Form: Direct ( or Indir	Ownership	Beneficial Ownership ct (Instr. 4)	
					Code	v	(A)		Date Exercisab		Expiration Date	Title	or Nu of	nount mber ares						
Stock Option (Right to Buy)	\$7.87	04/01/2020			A		65,000		(2)	C	03/31/2030	Common Stock	65	5,000	\$0	65,000	)	D		

## **Explanation of Responses:**

- 1. Represents shares issued as restricted stock units ("RSUs") under the Issuer's 2009 Equity Incentive Plan, as amended, (the "Plan") that were received as an award, for no consideration. The RSUs vest in a series of four successive annual installments beginning on April 1, 2021, provided in each case that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.
- 2. Grant to the Reporting Person of a stock option under the Plan. The option vests and becomes exercisable in a series of 48 successive equal monthly installments beginning on May 1, 2020, provided that at the relevant vesting dates the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan. The option expires ten years after the date of grant.

## Remarks:

/s/ Ryan Trytten, attorney-in-

<u>fact</u>

\*\* Signature of Reporting Person

Date

04/03/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.