FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number:	3235-0287								
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Lawley Lori D  (Last) (First) (Middle)  4200 MARATHON BLVD.				3. 0	Issuer Name and Ticker or Trading Symbol     LUMOS PHARMA, INC. [ (LUMO) ]      Jate of Earliest Transaction (Month/Day/Year)     04/01/2020									ck all applic Directo Officer below)	able) r (give title		10% Ow Other (s below)	ner pecify			
SUITE 200					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable						
(Street) AUSTIN	•													X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(S	tate)	(Zip)																		
		Tab	le I - Non	-Deriv	ative	e Se	curities	s Acc	quired,	Dis	posed o	f, or Be	nefic	ially	Owned						
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Execution Date,		3. Transaction Code (Instr. 8)  4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) 5)		ed (A) o str. 3, 4	or 5. Amour Securitie Beneficia Owned F		es Form ally (D) o following (I) (Ir		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
									Code	v	Amount	(A) o (D)	r <sub>Pri</sub>	ce	Transacti (Instr. 3 a	ction(s)			(111501.4)		
Common Stock 04/01/				/2020		A		4,500	,500 <sup>(1)</sup> A		\$ <mark>0</mark>	4,842			D						
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/	ate, T	4. Transaction Code (Instr. 8)				6. Date Exercisable ar Expiration Date (Month/Day/Year)		•	d 7. Title and Am of Securities Underlying Derivative Sect (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	e S Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)		
				c	Code	v	(A)		Date Exercisab		Expiration Date	Title	Amou or Numl of Share	ber							
Stock Option (Right to Buy)	\$7.87	04/01/2020			A		25,000		(2)	C	03/31/2030	Common Stock	25,0	00	\$0	25,000	0	D			

## **Explanation of Responses:**

- 1. Represents shares issued as restricted stock units ("RSUs") under the Issuer's 2009 Equity Incentive Plan, as amended, (the "Plan") that were received as an award, for no consideration. The RSUs vest in a series of four successive annual installments beginning on April 1, 2021, provided in each case that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.
- 2. Grant to the Reporting Person of a stock option under the Plan. The option vests and becomes exercisable in a series of 48 successive equal monthly installments beginning on May 1, 2020, provided that at the relevant vesting dates the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan. The option expires ten years after the date of grant.

The Issuer effected a 1-for-9 reverse stock split of its common stock on March 18, 2020. All share and per share exercise price amounts shown in this Form 4 have been adjusted to reflect the reverse stock split.

/s/ Ryan Trytten, attorney-infact

04/03/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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