FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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| | OMB Number: | 3235-0287 |
| l | Estimated average burd | en |
| l | hours per response: | 0.5 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Link Charles J. Jr.</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol NEWLINK GENETICS CORP [(NLNK)] | | | | | | | | | heck all a | applicable) rector | g Person(s) to I | Owner | |
|--|-----------|--|---------------|--|--|--|-----|-----|---|------|--------------------|--|--|---|--|------------------------------|-------|-----------------------|
| (Last) (First) (Middle) C/O NEWLINK GENETICS CORP. 2503 SOUTH LOOP DR., SUITE 5100 | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/03/2014 | | | | | | | | ^ be | ficer (give title low) airman of the | Other (specify below) e Board and CEO | | | |
| (Street) AMES (City) | IA (St | | 50010 Zip) | | - 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Lir | ne) X Fo | dual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | Execution Date, | | Transaction Disposed Code (Instr. | | | ties Acquired (A) or I Of (D) (Instr. 3, 4 and 5) | | | 5) Sec Bei Ow | amount of curities neficially ned Following ported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | | | Code | v | Amount | (A) (D) | or P | rice | Tra | nsaction(s) etr. 3 and 4) | | (111041.4) |
| Common | Stock | | | 12/03/ | 2014 | | | | S ⁽¹⁾ | | 13,700 | 1 |) \$ | 38.19 | 9(2) | 574,118(3) | D | |
| Common | Stock | | | 12/04/ | 2014 | | | | S ⁽¹⁾ | | 2,500 |]] |) | \$38.0 |)1 | 571,618 ⁽³⁾ | D | |
| Common | Stock | | | 12/04/ | 2014 | | | | S ⁽¹⁾ | | 3,800 |]] |) \$ | 36.4 | 4 ⁽⁴⁾ | 567,818 ⁽³⁾ | D | |
| Common | Stock | | | | | | | | | | | | | | | 10,000 | I | By Spouse |
| Common | Stock | | | | | | | | | | | | | | | 139 | I | By Spouse's IRA |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| Derivative Conversion Date Execution Date, I Security or Exercise (Month/Day/Year) | | | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | tr. 3 | 8. Price of Derivative Security (Instr. 5) | ive derivative y Securities | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | Amo or Num of Shar | ber | | | | |

Explanation of Responses:

- $1. \ The sales reported in this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by the Reporting Person on 6/12/2014.$
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.00 to \$38.69, inclusive. The reporting person undertakes to provide to the issuer, any security holder of issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in this footnote to this Form 4.
- 3. Includes 23,000 restricted stock units ("RSUs") previously reported as holdings of the Reporting Person granted under the Issuer's 2009 Equity Incentive Plan (the "Plan"). The RSUs will vest, and shares will be delivered to the Reporting Person in a series of four successive annual installments beginning on January 2, 2015, provided in each case that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.25 to \$36.76, inclusive. The reporting person undertakes to provide to the issuer, any security holder of issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in this footnote to this Form 4.

Remarks:

/s/ Ryan Trytten, by power of <u>attorney</u>

12/05/2014

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.