FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|---------------------|---------------|-----------|
| • ., == | J. J. 17 11 12 12 1 | | • |

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Link Charles J. Jr. | | | | 2. Issuer Name and Ticker or Trading Symbol NEWLINK GENETICS CORP [(NLNK)] | | | | | | | | (Chec | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---------------------------|--------------------------|------------------|---|--|---|----------------|-------------------------------|--------------------------|-------------------------|--------------------|--------------------------|---|--|--|-------------------------------|-------------------------|---------------------------|
| Time Charles V. VI. | | | | | | | | | | | | | X | Dire | | | Owner | |
| (1 a a t) | /Fi | rot) (| Middle | | | Date of Earliest Transaction (Month/Day/Year) | | | | | | X | Offic belov | er (give title | Othe belov | (specify | | |
| (Last) | (Fir | , | Middle) | | 3. Date of Earliest Transa 06/02/2014 | | | | saction (Month/Day/Year) | | | | | Chairman of the Board an | | ′ | | |
| C/O NEWLINK GENETICS CORP. | | | 100/ | 00/02/2014 | | | | | | | | Cilai | Chairman of the Board and CEO | | | | | |
| 2503 SOUTH LOOP DR., SUITE 5100 | | | | | | | | | | | | | | | | | | |
| | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) | | | | | | | | | | | | | | Line) | Forn | a filad by One | Donorting Do | con |
| AMES IA 50010 | | | | | | | | | | | | | X | , , , | | | | |
| | | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (St | ate) (| Zip) | | | | | | | | | | | | | | | |
| | | Tabl | e I - No | n-Deriv | ative | Sec | curitie | es Ac | quired | , Dis | sposed o | f, or | 3enef | icially | Owne | ed | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day | | | ction | Execution Date, | | | | 4. Securities Acquired (A) or | | | | | ount of | 6. Ownership | 7. Nature | | | |
| | | | ay/Year | | | | | Disposed (| Of (D) (I | nstr. 3, 4 | and 5) | Benef | urities eficially | Form: Direct (D) or Indirect | of Indirect Beneficial | | | |
| | | | , | | | onth/Da | onth/Day/Year) | | | | | | | Owne Repoi | d Following ted | (I) (Instr. 4) | Ownership (Instr. 4) | |
| | | | | | | | | | Code | v | Amount | (A) (D) | or Pri | ce | Trans | action(s) 3 and 4) | | (inotil 1) |
| Common Stock 06/0 | | | 06/02/ | 2014 | | | | | | 5,000 | I | \$1 | 9.51(2) | 9.51 ⁽²⁾ 725,818 ⁽³⁾ | | D | | |
| Common Stock | | | 06/03/ | 06/03/2014 | | | | S ⁽¹⁾ | | 15,000 | I | \$1 | 8.91(4) | 710,818 ⁽³⁾ | | D | | |
| Common Stock | | | Т | | | | | | | | | | 10,000 | I | By | | | |
| Common Stock | | | | | | | | | | | | | | 10,000 | | • | Spouse | |
| | | | | | | | | | | | | | | | By | | | |
| Common Stock | | | | | | | | | | | | 139 | I | Spouse's | | | | |
| | | | | | | | | | | | | | | | | IRA | | |
| | | Ta | hle II - | Derivat | ive S | ecu | rities | Δcau | ired C |)ien | nsed of | or Re | nefici | ally O | wned | <u> </u> | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of 2. 3. Transaction 3A. Deeme | | | | | | | | | | 6. Date Exercisable and | | 7. Title and | | | rice of | 9. Number of | | 11. Nature |
| Derivative Security | Conversion or Exercise | Date (Month/Day/Year) | Execution if any | | Date, Transa Code | | of Deriv | of Derivative | | on Da Day/Y | | Amount of Securities | | | ivative urity | derivative Securities | Ownership Form: | of Indirect Beneficial |
| (Instr. 3) | Price of Derivative | | | | В) | | Securities | | (| | Under | Underlying Derivative | | tr. 5) | | Direct (D) | Ownership (Instr. 4) | |
| Security | | | | | | Acquired (A) or Disposed of (D) | | (A) or | | | Security (Instr. | | . з | | Following | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| | | | | | | | | | | | and 4) | | | | Reported Transaction(s) | s) | 1 1 | |
| | | | | | (Instr. 3, 4 and 5) | | | | | | | | | (Instr. 4) | " | | | |
| | | | - | - | | and | 7) | | | | | | _ | | | | | |
| | | | | | | | | | | | | | Amou | nt | | | | |
| | | | | | | | Data | | Fumir-41- | | Numb | er | 1 | | | | | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | Title | of Share | s | | | | |

Explanation of Responses:

- 1. The sales reported in this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by the Reporting Person on 6/17/2013.
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$19.50 to \$19.55, inclusive. The reporting person undertakes to provide to the issuer, any security holder of issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in this footnote to this Form 4.
- 3. Includes 23,000 restricted stock units ("RSUs") previously reported as holdings of the Reporting Person granted under the Issuer's 2009 Equity Incentive Plan (the "Plan"). The RSUs will vest, and shares will be delivered to the Reporting Person in a series of four successive annual installments beginning on January 2, 2015, provided in each case that the Reporting Person's continuous service to the Issuer has not been terminated as defined in or as determined under the Plan.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.78 to \$19.03, inclusive. The reporting person undertakes to provide to the issuer, any security holder of issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the ranges set forth in this footnote to this Form 4.

Remarks:

/s/ Gordon Link, by power of attorney

06/04/2014

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.