FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

shington,	D.C.	20549	

STATEMENT OF CH	HANGES IN BEN	IEFICIAL OWNE	RSHIP

OMB APP	OMB APPROVAL								
OMB Number:	3235-0287								
Estimated average burden									
hours ner resnonse.	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  van Es-Johansson An					2. Issuer Name <b>and</b> Ticker or Trading Symbol LUMOS PHARMA, INC. [ (LUMO) ]									k all applic Directo	cable) or	g Pers	son(s) to Iss	vner		
(Last) 4200 MA	(Fi	· ·	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 05/05/2022									Officer (give title below)			Other (s below)	pecify	
SUITE 200					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) AUSTIN	T2	<b>X</b> :	78756										X Form filed by One Reporting Person Form filed by More than One Reporting Person					- 1		
(City)	(Si	tate)	(Zip)																	
		Tab	le I - Non	-Deriva	ative	Se	curitie	s Ac	quired,	Dis	posed (	of, or Be	nefic	ially	Owned	l				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Execution Date,		, Transaction Disposed Code (Instr. 5)		ities Acquir d Of (D) (In:		4 and Securiti		es Formially (D) (Following (I) (I		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
								Code	v	Amount	(A) or (D)		e e	Transact (Instr. 3	ction(s)			,iiisti. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	Date, 1	I. Fransa Code (I		n of		6. Date Exercisa Expiration Date (Month/Day/Yea			7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		De Se (Ir	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Own Form Direct or In (I) (Ir	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amou or Numb of Shares	er						
Stock Option (Right to Buy)	\$8.18	05/05/2022			A		3,431		(1)	0	5/04/2032	Common Stock	3,43	1	\$0	3,431		D		

## **Explanation of Responses:**

1. Grant to the Reporting Person of a nonstatutory stock option under the Issuer's 2009 Equity Incentive Plan (the "Plan"). One hundred percent (100%) of the shares shall vest on the earlier of (i) the first anniversary of the date of grant and (ii) the date of the first Annual Meeting following the date of grant, in each case subject to the Reporting Person's continuous service as of such date. The option expires ten years after the date of grant.

## Remarks:

/s/ Ryan Trytten, attorney-in-

**fact** 

\*\* Signature of Reporting Person Date

05/09/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.