

# Interferon- $\gamma$ (IFN- $\gamma$ ) response correlates with survival in a Phase 2 Hyperacute (tergenpumatucl-L) immunotherapy trial for non-small cell lung cancer (NSCLC)

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## Background

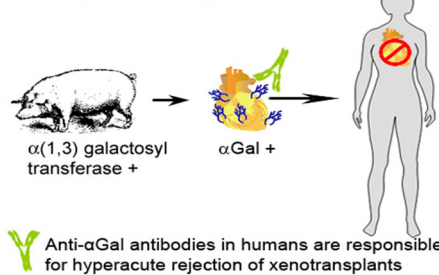
Non-small cell lung cancer (NSCLC) remains the leading cause of cancer death with an overall 5-year survival of less than 15%.

We studied the safety and activity of a novel immunotherapy that utilizes human lung cancer cell lines engineered to express  $\alpha(1,3)$ galactosylepitopes (tergenpumatucl-L)

### HyperAcute-Lung (tergenpumatucl-L) Immunotherapy

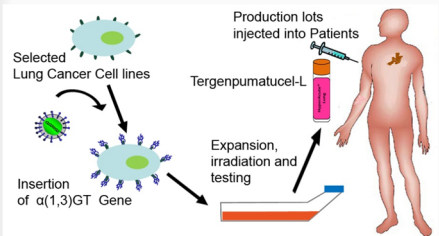
- A major barrier to xenotransplantation from lower mammals into primates or humans is immunity to  $\alpha(1,3)$ galactosyl epitopes expressed on the tissues of lower mammals that induce a phenomenon known as hyperacute rejection (Fig. 1)<sup>1,2</sup>
- $\alpha(1,3)$ galactosyltransferase ( $\alpha$ GT) is expressed by lower mammals, but not in humans or other Old World primates.
- Humans have naturally induced complement-fixing anti- $\alpha$ Gal antibodies (Ab) at levels that can reach 1-2% of total circulating antibodies.
- When  $\alpha$ Gal-positive cells are exposed to primate sera, anti- $\alpha$ Gal antibodies bind the  $\alpha$ Gal epitopes leading to rapid activation of complement and cell lysis. This process is the underlying mechanism of hyperacute rejection.
- Whole cell tumor vaccines expressing  $\alpha$ Gal epitopes are significantly more effective than their  $\alpha$ Gal-negative counterparts as immunotherapy against established tumors in animal models<sup>3,4,5,6</sup>
- Tergenpumatucl-L immunotherapy consists of stably transduced human lung cancer cell lines (HAL1, HAL2 and HAL3) expressing the murine  $\alpha$ GT gene (Fig. 2)

Xenotransplantation= hyperacute rejection



**Figure 1. Hyperacute rejection and immunotherapeutic applications.**

Hyperacute rejection of a xenotransplant occurs due to the presence of cell surface  $\alpha(1,3)$  galactosyl residues in tissues expressing the  $\alpha$ GT enzyme. These epitopes are highly immunogenic in man<sup>2</sup> and make lung cancer cell lines bearing the epitopes susceptible to rapid lysis when exposed to human sera.



**Figure 2. Tergenpumatucl-L immunotherapy.**

Tergenpumatucl-L immunotherapy is comprised of three human lung cancer cell lines transduced with a retroviral vector expressing the murine  $\alpha$ GT gene (HAL1, HAL and HAL3). Product is irradiated for safety and administered as a series of intradermal injections.

## Objectives

### Primary Objectives:

- Phase I: Determine the adverse events, dose-limiting toxicity and safety of tergenpumatucl-L immunotherapy in patients with advanced NSCLC.
- Phase II: Determine survival benefit of tergenpumatucl-L immunotherapy in patients with advanced NSCLC who had previously failed 1<sup>st</sup> line chemotherapy.

### Secondary Objectives:

- Assess survival distribution and duration of responses.
- Evaluate correlative immunological studies including:
  - Measure interferon-gamma (IFN- $\gamma$ ) and interleukin-5 (IL-5) responses to tergenpumatucl-L immunotherapy using whole-cell ELISPOT assay
  - Effect of tergenpumatucl-L immunotherapy on serum anti- $\alpha$ Gal and anti-CEA Ab.

## Methods

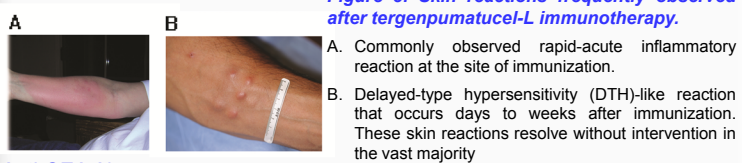
### Clinical Protocol and Sample collection

- In Phase I, 5 dose cohorts received increasing amounts of HyperAcute-Lung once a month up to 4 doses, escalating from 3 million cells to 300 million cells.
- In Phase II, all patients received 300 million cells/injection every 2 weeks for up to eight scheduled doses
- Serum samples were collected prior to immunization, on days 29, 57, 85, and 127 post-immunization, and then at two month follow up visits. Anti-CEA Ab and anti- $\alpha$ Gal Ab were determined by ELISA.
- PBMC were collected prior to immunization, after the 4<sup>th</sup> vaccination and after the 8<sup>th</sup> vaccinations (Phase II).

## Results

### Safety data

- The most frequent adverse event attributed to the vaccine was skin reactions at the site of immunization

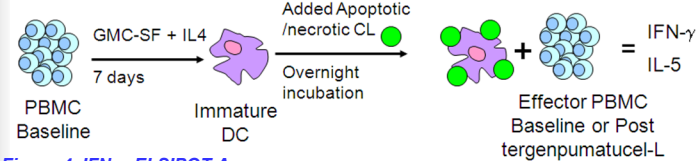


**Figure 3. Skin reactions frequently observed after tergenpumatucl-L immunotherapy.**

### Anti-CEA Ab response

- In Phase I, 40% of tested patients responded with increased anti-CEA Ab (>20%, n=10)
- In Phase II, 71 % of tested patients responded with increased anti-CEA Ab (>20%, n=21)
- The differences in the response among Phase I and Phase II anti-CEA Ab reactivity is statistically significant suggesting a dose-response effect (chi-square  $p<0.001$ )

### IFN- $\gamma$ response.

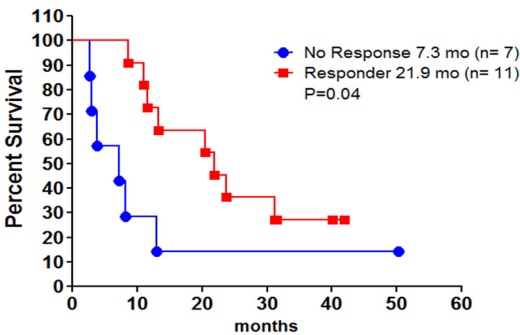


**Figure 4. IFN- $\gamma$  ELISPOT Assay**

IFN- $\gamma$  production was measured by ELISPOT. Tumor-loaded autologous DC were cultured with effector PBMC before and after tergenpumatucl-L immunotherapy. The fold-increased (FI) in reactivity was calculated as FI = test/baseline. The cell lines used in this study included the unmodified parental cell lines of the three cellular components of tergenpumatucl-L and a fourth, unrelated lung adenocarcinoma that is not a product component.

### IFN- $\gamma$ response.

- 18 patients with paired pre-immunization and post-immunization samples were tested.
- 11 of 18 patients (61%) responded with increased IFN- $\gamma$  after immunization.
- The OS of patients responding with increased IFN- $\gamma$  was 21.9 months in comparison to 7.2 months for non-responders.
- A significant difference was demonstrated in the overall survival of patients responding with increased IFN- $\gamma$  after immunization compared to non-responder patients ( $p=0.044$ ).
- 6 of 11 (55%) responder patients showed reactivity to CL4-H522, a non related lung adenocarcinoma not component of tergenpumatucl-L, suggesting cross-priming to shared antigens.



**Figure 5. IFN- $\gamma$  response after tergenpumatucl-L treatment.**

Kaplan-Meier curve for the overall survival of responder and non-responder patients in the IFN- $\gamma$  ELISPOT assay. Responders were defined as those patients producing 10-fold or more increased IFN- $\gamma$  response to the cell lines tested after vaccination with tergenpumatucl-L. The difference was statistically significant ( $p=0.044$ )

### Clinical response Phase II patients

- 28 patients were treated and evaluable for response.
- 8 (28.5 %) demonstrated stable disease (SD)  $\geq 16$  wks including one patient that initially progressed and later regressed, surviving over 40 months.
- Median overall survival (OS) was 11.3 months (95% CI 3.8-21.9) with 1-year OS of 46%.

Therapy	Median OS (Months)	1 Year Survival	Serious Adverse Events (CTC Grade 3 or 4) Attributed to therapy			
			Nausea	Fatigue	Anemia	Neutropenia
Best Supportive Care (7)	4.6	11%	--	--	--	--
Docetaxel (8)	7.5	37%	1.8%	5.4%	4.3%	40%
Pemetrexed (8)	8.3	30%	2.6%	5.3%	4.2%	5.3%
HyperAcute-Lung	11.3	46%	0%	0%	0%	0%

**Table 1 . Efficacy and safety data comparison**

Comparative results for second-line treatment in advanced NSCLC with pemetrexed, docetaxel and tergenpumatucl-L including safety data.

## Conclusions

- Significantly longer overall survival (OS) is demonstrated in patients responding with increased IFN- $\gamma$  secretion by PBMC's post-vaccination (21.9 vs. 7.2,  $p=0.044$ ).
- Tergenpumatucl-L immunotherapy induced anti-tumor reactivity to the parental  $\alpha$ Gal negative cell lines as measured by IFN- $\gamma$  response in 11 of 18 tested patients.
- Acquired reactivity to CL4-H522 cell line, not part of the tergenpumatucl-L vaccine, suggests antigen cross-priming to shared tumor antigens in lung cancer cell lines .
- A significant higher percentage of patients responded with anti-CEA Ab in Phase II compared to Phase I patients (71% vs. 40%,  $p<0.001$ ) suggesting a dose-dependent response .
- The median OS was 11.3 months with 46% of patients surviving one year. This data compares favorably with historical data of second-line chemotherapy treatment for NSCLC suggesting encouraging clinical benefit for advanced NSCLC, particularly with the absence of significant serious adverse events associated with conventional cytotoxic chemotherapies.
- Immunological monitoring of patients enrolled in tergenpumatucl-L trials is feasible and may provide valuable correlative information to determine efficacy in this immunotherapy trial .

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